

Item	Committee Date	Lead Officer	Decision	Completion Deadline	BRAG	Comment
Audit Wales Quarter 2 Work Programme	24/11/23	Audit Wales	<ul style="list-style-type: none"> Audit Wales to provide scoping details of 'The senior public service' audit report. Audit Wales to confirm engagement details in respect of the Good Practice Event planned for Spring 2024. 	26/01/24	2 - Green	
Statement of Accounts	24/11/23	Head of Finance	Head of Finance to share the financial resilience work in relation to liquidity with Committee Members.	26/01/24	2 - Green	
SWAP Internal Audit Adverse Report - Brecon High School	24/11/23	SWAP Assistant Director (Powys)	To review the SWAP follow-up audit and position of Brecon High School's unofficial fund once the report was available.	24/05/24	2 - Green	
Strategic Risk Management - Quarter 2 Report	24/11/23	Head of Property, Planning and Public Protection	The Committee sought assurance that the Strategic Property Service was effectively managing the risks relating to more prolonged County Farm and other estate inspections, and that it was included in the Service's Risk Register.	26/01/24	2 - Green	
Strategic Risk Management Report - Quarter 2	24/11/23	Chair	The Chair would share her comments with officers to discuss the format and reporting of the Strategic Risk Register.	26/01/24	2 - Green	
Strategic Risk Management Report Quarter 2	24/11/23	Head of Finance/Strategic Equalities and Risk Officer	Regarding the separate Strategic Risks for the Climate and Nature Emergency, the Head of Finance suggested that this could be reviewed as to whether it could be combined into a single Strategic Risk.	26/01/24	2 - Green	

Q1 Strategic Risk Report	29/09/23	Director of Social Services and Housing	Regarding the risk involving WCCIS (strategic risk ASC0064), it was listed as having a residual risk score of 20. Were appropriate plans in place in case the system ceased to function?	09/02/24	2 – Green	<p>WCCIS –performance of WCCIS has been problematic over recent weeks. When there are times where the system is down, access is maintained to a read only version, but this means that staff cannot update or add information, cannot run reports etc, information is not live. Digital colleagues escalate concerns to national team. As Director I have also recently highlighted concerns with the performance of WCCIS to ADSS and the Chief Social Care Officer for Wales, and will do so again after further concerns this week. The risk will be reviewed again in detail shortly and plans checked and reviewed.</p> <p>Chair requested Risk Management update from Director of Social Services and Housing regarding WCCIS, to be scheduled into the forward work programme.</p>
Self-assessment action plan update	28/07/23	Scrutiny Officer	<p>Recommended that the Chair and Support Officer review:</p> <ul style="list-style-type: none"> o the approach to public engagement with GAC, o the skills audit, training needs and log for GAC Members. 	31/01/24	2 – Green	<p>Training for GAC Members to be scheduled:</p> <ul style="list-style-type: none"> ICT/Digital training - potentially provided by SWAP. Fraud/Risk training - potentially provided by PCC Officers. <p>Public engagement approach to be developed further. Capacity for PCC Comms Team to share webinar links/recordings on PCC social media pages.</p> <p>Update 02/01/24: Mandatory Fraud</p>

						Module available on the NHS E-learning website. Following completion of this module, a more in-depth session is planned for Governance and Audit Committee Members with the Senior Investigator.
Corporate Complaints Report	23/06/23	Senior Manager Customer Services and Information Governance/Strategic Equalities and Risk Officer	To consider liaison between the lessons learnt from corporate complaints with the Strategic Risk Officer, to identify any links to strategic risks.	31/03/24	2 - Green	Accepted. Awaiting changes to complaints system to allow lessons learnt to be tracked. Once in place, lessons learnt from corporate complaints will be shared with the Strategic Risk Officer to identify any links with strategic risks.
Audit Wales Audit Summary Report	05/05/23	Audit Lead - Performance (Audit Wales)	Audit Wales to advise when review completed, and information updated on the Financial Sustainability Data Tool	31/01/24	2 - Green	Head of Finance to discuss the National Data Tool with Audit Wales.
Debt Policy	31/03/23	Head of Finance	Committee to be notified of Sundry Debt policy updates	31/03/24	2 - Green	
Quarterly Internal Audit Update Report 2022-23 – Quarter 3	09/02/23	Head of Finance	Head of Finance to progress the outstanding actions record being updated (Page 89 of the agenda pack)	31/01/24	3 - Amber	Regarding outstanding actions for audits: Highways Flexi Time x 3, Supply Chain Investigation x 2
SWAP Report – Deprivation of Liberty Safeguards	09/02/23	SWAP Assistant Director (Powys) / Head of Adult Services	Assurance to be provided at end of the twelve month period that the actions had been Addressed. If not what were the risk implications for the Council.	09/02/24	3 - Amber	
Quarterly Internal Audit Update Report 2022-23 Quarter 3	09/02/23	SWAP Assistant Director (Powys)	Ukraine - The Assistant Director indicated that he would review how this was detailed in the coverage	09/02/24	3 - Amber	
5.1. Quarterly Internal Audit Update Report 2022-23 - Quarter 3	09/02/23	SWAP Assistant Director (Powys)	It would also be helpful if some work was undertaken to identify how audit recommendations were recognised in risk registers.	09/02/24	3 - Amber	

Insurance Cover for Members and Officers Serving on Outside Bodies	20/01/23	Head of Finance	Head of Finance to speak to the Head of Legal Services regarding the role of officers on outside bodies	31/01/24	3 - Amber	
GCRE	14/12/22	Head of Finance	GCRE - Governance and Risk Assurance Paper (where the Council is involved in complex matters where the Council has a variety of roles and whether there are any conflicts of interest.	09/02/24	2 - Green	Raised at GCRE presentation with the Committee. Interim Director of Economy and Community Services and Heads of Service preparing update on GCRE including governance arrangements.
Minutes	30/09/22	Chair	Chair to discuss way forward with assurance Mapping with the Assistant Director (Powys) (SWAP).	01/02/24	3 - Amber	
Minutes	29/07/22	Head of Finance	Head of Finance to present a report to the Committee once the work on reviewing insurances for Members and officers has been finalised	01/02/24	3 - Amber	